



2839  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: )  
 Kaoru Kagami et al. ) Before the Examiner  
 ) Thanh-Tam Le  
 Application No. 09/901,307 )  
 ) Group Art Unit 2839  
 Filed: July 9, 2001 )  
 )  
 OPTICAL FILTERING MODULE AND )  
 OPTICAL DEVICES USING SUCH ) October 14, 2004  
 OPTICAL FILTERING MODULE )

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

October 14, 2004

Date of Signature

Matthew R. Schantz

Name of Registered Representative

*Matthew R. Schantz*  
 Signature

**AMENDMENT AFTER SECOND ACTION**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated May 18, 2004, please enter the following amendments and consider the following remarks:

**INTRODUCTION**

Claims 1-3, 5-19, 21, and 22 are pending in the application. Claims 1-3, 5-19, 21, and 22 stand presently rejected. Claims 4, 5, 11, 12, 20, and 21 had earlier been identified by the examiner as containing patentable subject matter, but have now been rejected. Claims 1, 2, 9, 16, and 17 are objected to for various informalities. Applicants respond to the objections and rejections as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2.

**Remarks** begin on page 9.

The **Conclusion** and statement of fees begin on page 10.